	Case 5:08-cv-02248-RMW Document 66	Filed 08/01/2008 Page 1 of 3
2 R 1 R 3 R 4 F 5 A 5 S 6 T 7 A	FODD A. ROBERTS (SBN 129722) FESSHILL E. LOVE (SBN 208348) ROPERS, MAJESKI, KOHN & BENTLEY 1001 Marshall Street, Suite 300 Redwood City, CA 94063 Felephone: (650) 364-8200 Facsimile: (650) 780-1701 Attorneys for Plaintiffs STEVE TRACHSEL, an individual, SUN CITY FOWERS, LLC, a California limited liability company, THOMAS CIRRITO, an individual, ATOCHA LAND, LLC, a Delaware limited liability company, MICHAEL CIRRITO, an individual, and CIRRITO HOLDINGS, LLC, a Delaware limited liability company	
10	UNITED STATES DISTRICT COURT - 1	NORTHERN DISTRICT OF CALIFORNIA
11	SAN JOSI	E DIVISION
12	STEVE TRACHSEL, an individual; SUN	CASE NO: C08 02248RMW
13 (CITY TOWERS, LLC, a California limited liability company; THOMAS CIRRITO, an	NOTICE OF ERRATA IN
14 i 15 N	individual; ATOCHA LAND, LLC, a Delaware limited liability company; MICHAEL CIRRITO, an individual; and CIRRITO HOLDINGS, LLC, a Delaware limited liability company,	DECLARATIONS OF STEVE TRACHSEL, THOMAS CIRRITO, MICHAEL CIRRITO, AND JESSHILL E. LOVE IN SUPPORT OF PLAINTIFFS' REPLY TO OPPOSITION
17	Plaintiffs,	TO APPLICATION FOR WRIT OF ATTACHMENT AND RIGHT TO
18 v	v.	ATTACH ORDER
	RONALD BUCHHOLZ; CHARICE	[FED. R. CIV. PROC. § 65, NORTHERN DISTRICT OF CALIFORNIA CIVIL
20 1	FISCHER; RDB DEVELOPMENT, LLC, a Nevada limited liability company; SOLOMON CAPITAL, INC., a Nevada	LOCAL RULES 7-10 AND 65-1] Date: August 8, 2008
21 0	corporation; JONATHON VENTO; GRACE	Time: 9:00 a.m. Ctrm: 6
22 (CAPITAL, LLC, dba GRACE COMMUNITIES, an Arizona limited liability	Judge: Hon. Ronald M. Whyte
23 2	company; DONALD ZELEZNAK; Z-LOFTS, LLC, an Arizona limited liability company; ZELEZNAK PROPERTY	
24	MANAGEMENT, LLC dba KELLER WILLIAMS REALTY, an Arizona limited	
25		
	liability company; KELLER WILLIAMS REALTY, INC., a Texas corporation; and	
27	liability company; KELLER WILLIAMS	

Ropers Majeski Kohn & Bentley A Professional Corporation San Jose

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TO THE COURT, ALL PARTIES AND TO THEIR ATTORNEYS OF RECORD:

On July 25, 2008, Plaintiffs STEVE TRACHSEL, an individual, SUN CITY TOWERS, LLC, a California limited liability company, THOMAS CIRRITO, an individual, ATOCHA LAND, LLC, a Delaware limited liability company, MICHAEL CIRRITO, an individual, and CIRRITO HOLDINGS, LLC, a Delaware limited liability company filed their Reply to Defendants' Opposition to Plaintiffs' Application for Writ of Attachment and Right to Attach Order, which contained the following inadvertent errors:

- The Declaration of Steve Trachsel in Support of Plaintiffs' Reply to Defendants' 1. Opposition to Plaintiffs' Application for Writ of Attachment and Right to Attach Order inadvertently omitted the phrase "I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, and if called as a witness I could and would testify thereto."
- The Declaration of Thomas Cirrito in Support of Plaintiffs' Reply to Defendants' 2. Opposition to Plaintiffs' Application for Writ of Attachment and Right to Attach Order inadvertently omitted the phrase "I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, and if called as a witness I could and would testify thereto."
- The Declaration of Michael Cirrito in Support of Plaintiffs' Reply to Defendants' 3. Opposition to Plaintiffs' Application for Writ of Attachment and Right to Attach Order inadvertently omitted the phrase "I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, and if called as a witness I could and would testify thereto."
- The Declaration of Jesshill E. Love in Support of Plaintiffs' Reply to Defendants' 4. Opposition to Plaintiffs' Application for Writ of Attachment and Right to Attach Order inadvertently omitted the phrase "I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, and if called as a witness I could and would testify thereto."

WHEREFORE, together with this Notice, Plaintiffs hereby submit and file the corrected Declarations of Steve Trachsel, Thomas Cirrito, Michael Cirrito, and Jesshill E. Love in Support of Plaintiffs' Reply to Defendant's Opposition to Plaintiffs' Application for Writ of Attachment and Right to Attach Order, as duly noticed on the caption, which contain the aforementioned

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language indicating that the declarations are executed under penalty of perjury. The corrected
Declarations of Steve Trachsel, Thomas Cirrito, Michael Cirrito, and Jesshill E. Love in Support
of Plaintiffs' Reply to Defendant's Opposition to Plaintiffs' Application for Writ of Attachment
and Right to Attach Order contain no substantive changes and make no changes other than the
ones set forth above.

6 Dated: August 1, 2008

ROPERS, MAJESKI, KOHN & BENTLEY

TODD A. ROBERUS
JESSHILL E. LOVE
Attorneys for Plaintiffs

STEVE TRACHSEL, an individual, SUN CITY TOWERS, LLC, a California limited liability company, THOMAS CIRRITO, an individual, ATOCHA LAND, LLC, a Delaware limited liability company, MICHAEL CIRRITO, an individual, and CIRRITO HOLDINGS, LLC, a Delaware limited liability company

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Document 66-2

Filed 08/01/2008

Page 1 of 3

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- I am a Plaintiff in the above-titled action. The facts set forth herein are personally 1. known to me or are set forth on the basis of information and belief. If called upon as a witness, I could and would competently testify to these facts.
- I do not recall preparing the PowerPoint presentation shown at the February 3. 2. 2005 investor meeting introducing the Solomon Towers project. After the February 3 meeting, at which I was not present, I received a copy of the presentation from Charice Fischer and subsequently modified it for other purposes.
- Notwithstanding a brief Power Point presentation and Pro Forma financials for the 3 project, no other disclosure documentation was disseminated to me regarding the Solomon Towers project. There was no Private Placement Memorandum provided to me at any time setting forth the potential risks inherently involved in the project and the potential future loss of the entire investment.
- At the time Defendants presented the Solomon Towers investment to me, they had 4. already designated in the Pro Forma given to the potential investors that the price of the land at issue would be \$5,000,000. On April 11, 2005, Solomon Towers, LLC purchased the land from Defendants Zeleznak and Vento for \$5,004,929, using exclusively investor funds. Defendant Zeleznak was given a commission of \$1,000,000 on the sale.
- During my conversation with Defendant FISCHER following the February 5. meeting, Defendant FISCHER materially misrepresented the actual return or "profit" to the Plaintiff investors on the Solomon Towers project. The Pro Forma Finance & Investment Analysis I received represented that investors would receive a 50% share of all profits. On the basis of this representation, I decided to invest in the project.

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DECLARATION OF STEVE TRACHSEL IN SUPPORT OF PLFS' REPLY SUPPORTING RTAO

C08 02248RMW

1 TODD A. ROBERTS (SBN 129722) JESSHILL E. LOVE (SBN 208348) ROPERS, MAJESKI, KOHN & BENTLEY 2 1001 Marshall Street, Suite 300 3 Redwood City, CA 94063 Telephone: (650) 364-8200 Facsimile: (650) 780-1701 4 5 Attorneys for Plaintiffs STEVE TRACHSEL, an individual, SUN CITY TOWERS, LLC, a California limited liability 6 company, THOMAS CIRRITO, an individual, ATOCHA LAND, LLC, a Delaware limited 7 liability company, MICHAEL CIRRITO, an individual, and CIRRITO HOLDINGS, LLC, a 8 Delaware limited liability company 9 UNITED STATES DISTRICT COURT - NORTHERN DISTRICT OF CALIFORNIA 10 SAN JOSE DIVISION 11 Ropers Majeski Kohn & Bentley A Professional Corporation San Jose 12 STEVE TRACHSEL, an individual; SUN CITY TOWERS, LLC, a California limited CASE NO: C08 02248RMW 13 liability company; THOMAS CIRRITO, an DECLARATION OF MICHAEL individual; ATOCHA LAND, LLC, a CIRRITO IN SUPPORT OF 14 Delaware limited liability company; PLAINTIFFS' REPLY TO OPPOSITION TO APPLICATION FOR WRIT OF MICHAEL CIRRITO, an individual; and 15 ATTACHMENT AND RIGHT TO CIRRITO HOLDINGS, LLC, a Delaware ATTACH ORDER limited liability company, 16 [FED. R. CIV. PROC. § 65, NORTHERN Plaintiffs. 17 DISTRICT OF CALIFORNIA CIVIL LOCAL RULES 7-10 AND 65-11 18 ٧. 19 August 8, 2008 RONALD BUCHHOLZ: CHARICE Date: Time: 9:00 a.m. FISCHER: RDB DEVELOPMENT, LLC, a Nevada limited liability company; SOLOMON CAPITAL, INC., a Nevada Ctrm: 20 6 Judge: Hon. Ronald M. Whyte corporation; JONATHON VENTO; GRACE 21 CAPITAL, LLC, dba GRACE COMMUNITIES, an Arizona limited liability 22 company; DONALD ZELEZNAK; Z-LOFTS, LLC, an Arizona limited liability 23 company; ZELEZNAK PROPERTY 24 MANAGEMENT, LLC dba KELLER WILLIAMS REALTY, an Arizona limited liability company; KELLER WILLIAMS 25 REALTY, INC., a Texas corporation; and 26 DOES 1-50, inclusive, 27 Defendants. 28 - 1 -RC1/5156610.1/BRL DECLARATION OF MICHAEL CIRRITO IN SUPPORT OF PLFS' REPLY SUPPORTING RTAO

C08 02248RMW

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claimed expertise and honesty.

I am a Plaintiff in the above-titled action. The facts set forth herein are personally

known to me or are set forth on the basis of information and belief. If called upon as a witness, I

To date, I have no experience developing a high rise condominium.

Other than an operating agreement with a pro forma at the outset of the

investment. I did not receive any explanatory documents from Defendants prior to my investment

in the Solomon Towers project. The risks involved with this investment were never disclosed to

made an informed decision regarding this investment, and instead could only rely on Defendants'

I declare under penalty of perjury that the foregoing is true and correct to the best of my

At the time I invested in the Solomon Towers project, I had only minimal

To date. I have no experience developing raw land in the Phoenix, Arizona area.

Accordingly, in investing with Defendants, I relied on the claimed expertise of

Due to the lack of documents provided to me prior to investing, I could not have

I, MICHAEL CIRRITO, declare:

could and would competently testify to these facts.

experience with real estate development investment.

Defendants Ron Buchholz and Charice Fischer.

me orally, in writing, or in a Private Placement Memorandum.

knowledge, and if called as a witness I could and would testify thereto.

Executed on August 1, 2008, in WASHINGOM

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- 2 -

	1	TODD A. ROBERTS (SBN 129722) JESSHILL E. LOVE (SBN 208348)		
	2	ROPERS, MAJESKI, KOHN & BENTLEY 1001 Marshall Street, Suite 300		
	3	Redwood City, CA 94063 Telephone: (650) 364-8200		
	4	Facsimile: (650) 780-1701		
	5	Attorneys for Plaintiffs STEVE TRACHSEL, an individual, SUN CIT	·V	
	6	TOWERS, LLC, a California limited liability company, THOMAS CIRRITO, an individual		
	7	ATOCHA LAND, LLC, a Delaware limited liability company, MICHAEL CIRRITO, an	•	
	8	individual, and CIRRITO HOLDINGS, LLC, Delaware limited liability company	a.	
	9	Demware united natinty company		
	10	UNITED STATES DISTRICT COURT	- NORTHER	N DISTRICT OF CALIFORNIA
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r Bentley	12	STEVIE TO ACTURE		
Cohin & San Jose	13	STEVE TRACHSEL, an individual; SUN CITY TOWERS, LLC, a California limited	CASE N	O: C08 02248RMW
ajeski Kohn & Ber A Professional Corporation San Jose	14	liability company; THOMAS CIRRITO, an individual; ATOCHA LAND, LLC, a	DECLAI CIRRIT	RATION OF THOMAS O IN SUPPORT OF
Kopers Majeski Kohn & Afrofessional Corpo San Jose	15	Delaware limited liability company; MICHAEL CIRRITO, an individual; and	PLAINT	IFFS' REPLY TO OPPOSITION LICATION FOR WRIT OF
Sers	16	CIRRITO HOLDINGS, LLC, a Delaware limited liability company,	ALLACI	MENT AND RIGHT TO I ORDER
\$	17	Plaintiffs,		
	18	v .		CIV. PROC. § 65, NORTHERN T OF CALIFORNIA CIVIL RULES 7-10 AND 65-1]
	19	RONALD BUCHHOLZ, CHARICE FISCHER; RDB DEVELOPMENT, LLC, a Nevada limited liability approximation	Date:	August 8, 2008
	20	SOLOMONI CANTEL VOIDBRING	Time: Ctrm:	9:00 a.m.
	21 22	CAPITAL TION LANDON VENTO: GRACE		Hon. Ronald M. Whyte
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2.		Company ZEL C, an Arizona limited liebited		
25	IV	VILLIAMS BE INCLUDE KELLED		
26				
27		OES 1-50, inclusive,		
28		Defendants.		
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DECLARATION OF THOMAS CIRRITO IN SUPPORT OF PLFS' REPLY SUPPORTING RTAO COR 0224RRMW

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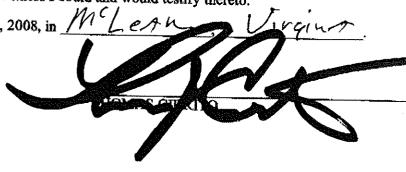
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I, THOMAS CIRRITO, declare:

- I am a Plaintiff in the above-titled action. The facts set forth herein are personally known to me or are set forth on the basis of information and belief. If called upon as a witness, I could and would competently testify to these facts.
- 2. At the time I invested in the Solomon Towers project, I had only minimal experience with real estate development investment.
 - To date, I have no experience developing raw land in the Phoenix, Arizona area. 3.
 - To date, I have no experience developing a high rise condominium. 4.
- Accordingly, in investing with Defendants, I relied on the claimed expertise of 5. Defendants Ron Buchholz and Charice Fischer.
- Other than an operating agreement with a pro forma at the outset of the б. investment, I did not receive any explanatory documents from Defendants prior to my investment in the Solomon Towers project. The risks involved with this investment were never disclosed to me orally, in writing, or in a Private Placement Memorandum.
- 7. Due to the lack of documents provided to me prior to investing, I could not have made an informed decision regarding this investment, and instead could only rely on Defendants' claimed expertise and honesty.
- I was never asked to fill out and never filled out any questionnaire or other 8. documentation that purported to qualify me as an "accredited investor." Further, I was never provided any type of disclosure form regarding the Solomon Towers investment.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, and if called as a witness I could and would testify thereto.

Executed on August 1, 2008, in //



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- 2 -

DECLARATION OF THOMAS CIRRITO IN SUPPORT OF PLFS' REPLY SUPPORTING RTAO

C08 02248RMW

`	Case 5:08-cv-02248-RMW Document 66-5	5 Filed 08/01/2008 Page 1 of 29
1	TODD A. ROBERTS (SBN 129722) JESSHILL E. LOVE (SBN 208348)	
2 3	ROPERS, MAJESKI, KOHN & BENTLEY 1001 Marshall Street, Suite 300 Redwood City, CA 94063	
4	Telephone: (650) 364-8200 Facsimile: (650) 780-1701	
5	Attorneys for Plaintiffs STEVE TRACHSEL, an individual, SUN CITY	
6 7	TOWERS, LLC, a California limited liability company, THOMAS CIRRITO, an individual, ATOCHA LAND, LLC, a Delaware limited	
8	liability company, MICHAEL CIRRITO, an individual, and CIRRITO HOLDINGS, LLC, a Delaware limited liability company	
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10 11		NORTHERN DISTRICT OF CALIFORNIA E DIVISION
12		
13	STEVE TRACHSEL, an individual; SUN CITY TOWERS, LLC, a California limited	CASE NO: C08 02248RMW
14	liability company; THOMAS CIRRITO, an individual; ATOCHA LAND, LLC, a Delaware limited liability company;	DECLARATION OF JESSHILL E. LOVE IN SUPPORT OF PLAINTIFFS' REPLY TO OPPOSITION TO
15 16	MICHAEL CIRRITO, an individual; and CIRRITO HOLDINGS, LLC, a Delaware limited liability company,	APPLICATION FOR WRIT OF ATTACHMENT AND RIGHT TO ATTACH ORDER
17	Plaintiffs,	[FED. R. CIV. PROC. § 65, NORTHERN DISTRICT OF CALIFORNIA CIVIL
18	v.	LOCAL RULES 7-10 AND 65-1]
19	RONALD BUCHHOLZ; CHARICE FISCHER; RDB DEVELOPMENT, LLC, a	Date: August 8, 2008 Time: 9:00 a.m.
20	Nevada limited liability company; SOLOMON CAPITAL, INC., a Nevada	Ctrm: 6 Judge: Hon. Ronald M. Whyte
21 22	corporation; JONATHÓN VENTO; GRACE CAPITAL, LLC, dba GRACE COMMUNITIES, an Arizona limited liability	
23	company; DONALD ZELEZNAK; Z-LOFTS, LLC, an Arizona limited liability	
24	company; ZELEZNAK PROPERTY MANAGEMENT, LLC dba KELLER	
25	WILLIAMS REALTY, an Arizona limited liability company; KELLER WILLIAMS	
26	REALTY, INC., a Texas corporation; and DOES 1-50, inclusive,	
27	Defendants.	
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Ropers Majeski Kohn & Bentley A Professional Corporation San Jose

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I, JESSHILL E. LOVE, declare:

- I am a shareholder with the law firm of Ropers, Majeski, Kohn & Bentley, 1. attorneys of record for Plaintiffs STEVE TRACHSEL, SUN CITY TOWERS, LLC, THOMAS CIRRITO, ATOCHA LAND, LLC, MICHAEL CIRRITO, and CIRRITO HOLDINGS, LLC. The facts set forth herein are personally known to me or are set forth on the basis of information and belief. If called upon as a witness, I could and would competently testify to these facts.
- 2. Attached hereto as Exhibit "A" are true and correct copies of database search result printouts from the Securities Exchange Commission's EDGAR database, the California Department of Corporations' database, and the Financial Industry Regulatory Authority's BrokerCheck database indicating that Defendants' names were not found on any of these databases as being qualified to sell securities.
- Attached hereto as Exhibit "B" is a true and correct copy of the contact page from 3. Solomon Capital's website, showing their principal place of business as 20 Great Oaks Blvd. # 230, San Jose, CA 95119, phone: (408) 227-2200.
- Attached hereto as Exhibit "C" is a true and correct copy of the index page of 4. RDB Development's website, showing no address for a principal place of business, but having the same phone number as Solomon Capital's office in San Jose, as well as the locations page from the same website, showing Hellyer Commons in San Jose as one of RDB Development's locations.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge, and if called as a witness I could and would testify thereto.

Executed on August 1, 2008, in Redwood City, California.

JESSNILL ELOVE

- 2 -

EXHIBIT A



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Securities Regulation Division

The Securities Regulation Division (SRD) is one of three operational divisions under the California Corporations Commissioner. SRD is headed by an Assistant Commissioner and is responsible for the qualification of the offer and sale of securities and the licensing and regulation of broker-dealers, broker-dealer agents, investment advisers, and investment adviser representatives pursuant to the Corporate Securities Law of 1968. SRD also registers the offer and sale of franchises under the Franchise Investment Law and licenses capital access companies under the Capital Access Company Law.

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- ** Access to Securities & Franchise Filings ("Cal-EASI") Follow this link to obtain information on Corporate Securities Law & Franchise Investment Law filings as of July 25, 2002.
- -> Check Out Your Broker Follow this link to obtain information on how to check out your broker.
- ** Check Out Your Money Manager, Financial Planner, or other Investment Adviser Follow this link to obtain information on how to check out your investment adviser.
- -- Commissioner's Releases, Opinions & Notices Selected Commissioner's Releases, Opinions & Notices.
- ->> Complaints Follow this link to file a complaint about a broker-dealer or investment adviser.
- ** Early Warning Follow this link for information on the mandatory notification of false or misleading reports.
- ** Fairness Hearings Follow this link to obtain information on Fairness Hearings pursuant to California Corporations Code section 25142.
- ** Fairness Hearings Summaries Follow this link to obtain brief summaries of Fairness Hearings pursuant to California Corporations Code section 25142 held in 2001-2003.
- ** File Your Limited Offering Exemption Notice (Corporations Code 25102(f)) Online Follow this link to file your Limited Offering Exemption Notice online.
- -- Applications & Forms Follow this link for a list of applications & forms.
- ** Franchises Follow this link for information regarding franchising.
- ** Investor Education Follow this link for helpful information to assist the investor.
- Notice to Applicants Use of a Cross-Reference Sheet Follow this link for information to expedite the review of applications for qualification of the offer and sale of securities.
- ->> Other Useful Links Links to other sites.
- -> Marsh & Volk's "Practice Under the California Securities Laws" published by Mathew Bender & Company, Inc., ., one of the LEXIS Publishing companies.

This three-volume treatise includes, in addition to a history of the California Corporate Securities laws, (1)

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a detailed analysis of the Corporate Securities Law of 1968 and Franchise Investment Law, as amended, (2) the text of both laws and the rules promulgated by the California Corporations Commissioner under both laws, (3) a section-by-section commentary on both laws with annotated California Corporations Commissioner's opinions, and (4) copies of all releases issued by the California Corporations Commissioner under both laws.

->> Publications - Follow this link for a list of publications.

How to Contact Us!

If you have any questions regarding the laws administered by Securities Regulation Division, call the Consumer Services Office tollfree: 1 (866) 275-2677 or 866 ASK CORP.

For information regarding broker-dealers, broker-dealer agents and investment advisers call the Consumer Services Office tollfree: 1 (866) 275-2677 or 866 ASK CORP.

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CALIFORNIA'S INVESTMENT & FINANCING AUTHORITY SINCE 1913

Home -> About -> Adviser

Check Out Your Investment Adviser!

Check out your money manager, financial planner, or other investment adviser on the Investment Adviser Public Disclosure website. We encourage you to review the IAPD Viewing Tips prior to visiting this site. The Securities and Exchange Commission ("SEC") and the North American Securities Administrators Association ("NASAA") sponsor the IAPD database. The IAPD provides instant access to an investment adviser's Form ADV. Investment advisers file Form ADV to register with the SEC and/or the state(s). Generally the larger (assets under management of \$25 million or more) and multi-state investment advisers are registered with the SEC whereas the smaller investment advisers and fee-only financial planners are registered with the states. More than 9,000 investment advisers are registered with either the SEC or state securities administrators. Information you will find on Form ADV will include, among others, the investment adviser's business, advisory services, fees, and disciplinary problems during the last 10 years.

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On the "Investment Adviser Search" screen, enter the investment adviser's name, CRD number or SEC file number and then follow the instructions for viewing the investment adviser's Form ADV. When checking out your investment adviser, always view the investment adviser's "Registration Status" on Form ADV. The following is a summary of the "Registration Status" you may find for both State and SEC registered investment advisers.

State Registered Investment Advisers

If the investment adviser is registered only with the state(s), the state(s) the investment adviser firm is currently registered with, or was registered in during the previous two years, will be listed. By clicking on any of the states listed, you will be able to view the investment adviser's Form ADV. To check whether the investment adviser currently is registered in a state, click on the "Registration Status" menu item on the side navigation panel. Once you click on the "Registration Status" hyperlink, a screen will appear with the registration status for each state in the following format:

Status Effective Date

SEC/Jurisdiction	Registration Status	Status Effective Date
Alabama	Termination Requested	
California	Approved	12/12/2001
Florida	Terminated	07/02/2002
Vermont	Revoked	04/05/2002

If you click on the "Registration Status" hyperlink on this screen when you are in the <u>IAPD website</u>, a pop-up window will appear with an explanation of the various registration statuses.

SEC Registered Investment Advisers

- If the firm is, or was, registered with the SEC, a list of state(s) in which the firm is registered or notice filed will not appear. Click on the "Registration Status" menu item on the IAPD website on the side navigation panel to check the jurisdiction(s) in which the firm is registered or notice filed. Once you click on the "Registration Status" hyperlink, a screen will appear with the firm's registration and/or notice filing status with the SEC and each state, as applicable, in the following format:

Registration Status		
		Status Effective Date
	Approved	07/03/1991

Notice Filings

Investment adviser firms registered with the SEC may be required to provide to state securities authorities a copy of their Form ADV and any accompanying amendments filed with the SEC. These filings are called "notice filings". Below are the states with which the firm you selected makes its notice filings. Also listed is the date the firm first became notice filed or registered in each state.

SEC/Jurisdiction	
California	12/12/2001

Click here to check out your broker

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No match has been found for the information you provided.

Please Note:

No match may have been made because:

1. More specific information is required to complete your search.

Use the "back" button on your browser to change and resubmit the search.

Here are some ways to improve your search:

- a. Check that you have spelled the investment adviser's name correctly.
- b. If you know the investment adviser's IARD/CRD number or SEC "801-" number, enter
- c. Select "Starts With", "Sounds Like", or "Contains" on the various name selection boxes so that your search possibly returns more items.
- d. When using the "Starts With" option, enter only the first few letters of the name.
- e. When using the "Contains" option, enter a few characters that may be contained in the name that you are searching for.
- 2. The investment adviser is not currently registered or has not been registered in the last two years.

This website contains information on investment advisers that currently are registered with the SEC or a state. This website also contains information on investment advisers that were registered in the previous two years but are no longer registered.

This website does not contain information on advisers that:

- 1) Are exempt from registration by law.
- 2) Have filed an application for registration with the SEC or a state, but have not had their registrations approved.

Note: Currently, some investment advisers do not register through the IARD electronic registration system. These investment advisers generally file via paper filings with the states and cannot be found on this IAPD system. Therefore, it is possible that an investment adviser is legally registered with a state but a search on this system will return a "not found" response. If you want to check on an investment adviser's registration status, you should contact the SEC at (202) 551-7250 or contact the appropriate state securities authority through http://www.nasaa.org.

3. The investment adviser you are looking for may also be a broker dealer. You can visit the FINRA BrokerCheck at: http://www.finra.org/BrokerCheck.

DEPARTMENT OF CALIFORNIA'S INVESTMENT & FINANCING AUTHORITY SINCE 1913

<u>Home</u> → <u>About</u> → Broker

Check Out Your Broker!

- ->> For information regarding broker-dealers, broker-dealer agents and investment advisers call (866) 275-2677 or 866 ASK CORP.
- ->> Check the background of your broker or investment professional by using Financial Industry Regulatory Authority (FINRA) BrokerCheck. FINRA is also a resource for Investor Alerts and investor education and
- ** Check out your commodity broker. A free service from the National Futures Association's Background Affiliation Status Information Center (BASIC). Whether you are an investor thinking about opening a futures account or an NFA Member contemplating a new business relationship, BASIC can be a valuable resource for you. BASIC contains Commodity Futures Trading Commission (CFTC) registration and NFA membership information and futures-related regulatory and non-regulatory actions contributed by NFA, the CFTC and the U.S. futures exchanges.
- ->> Check out your investment adviser.

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No Results



Based on the information you provided, no results were found for the broker for whom you searched. This may have occurred because:

- 1. The broker for whom you are searching is not currently registered with FINRA or has not been registered with FINRA in the last two years. BrokerCheck provides information on brokers that are currently registered or have been registered with FINRA within the last two years.
- 2. The broker's name and/or CRD Number entered are incorrect.
- 3. If using the Advanced Search Page, below are some additional helpful hints:
 - o Make sure you entered the CRD Number in the Broker's CRD # field and not in the Firm CRD # field.
 - o Select "Begins With" or "Sounds Like" on the various name fields so that your search returns more results. Enter only the first few letters of the broker's name when using the "Begins With" option to assist with your search.
 - o When using the "Sounds Like" option, enter the characters that sound like the name for which you are searching.
 - o Verify the accuracy of the information provided for the broker's employing firm if you used these fields in your search. Incorrect firm information will result in a "no match" when conducting a broker search.

If you still are not able to find the individual for whom you are searching, call the FINRA BrokerCheck Hotline at (800) 289-9999 to speak with a representative.

To start a new search, select the New Search link in the navigation panel.



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SB 31000R

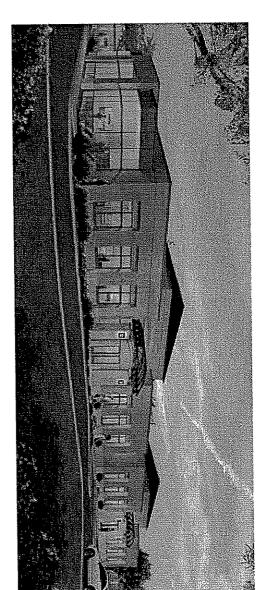
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completed developments. getting started or buying their first house. Ultimately, RDB strives to provide quality their own. Its residential projects provide an affordable, comfortable home for families medium sized business owners and at much lower prices than they could achieve on buildings in desirable locations. Its projects offer the benefits of ownership to small to RDB specializes in building commercial office condominiums that are "Class A" RDB Development is a real estate development company founded by Ron Buchholz. RDB team's depth of experience and commitment to excellence can be seen from its real estate products that positively impact cities and neighboring communities. The

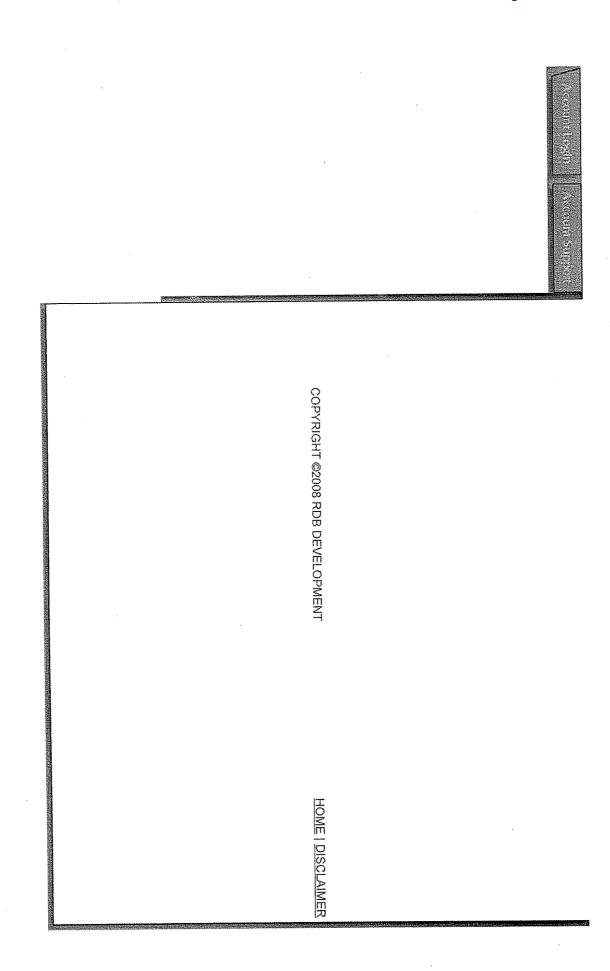
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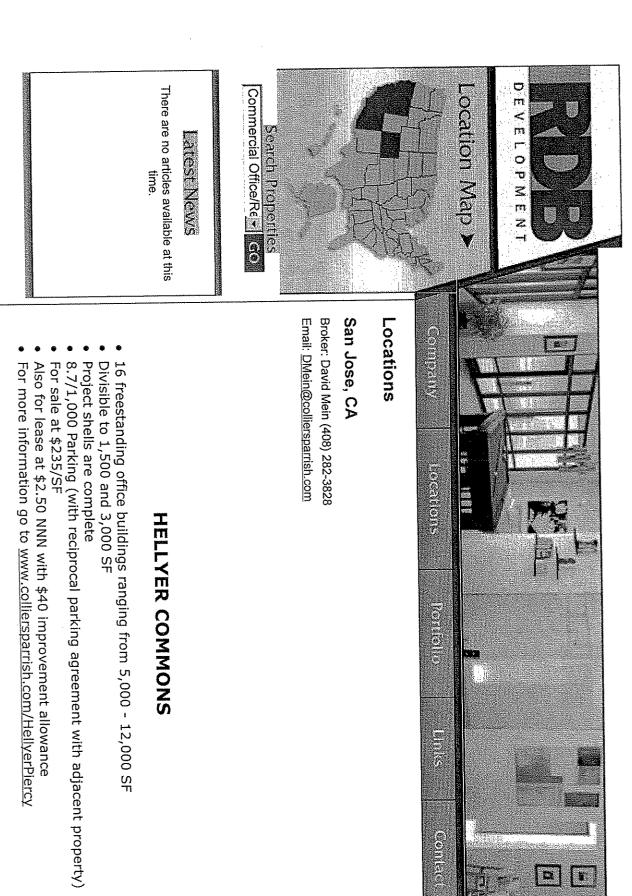
Phone: 408.227.2200 Fax: 408.904.7116 info@rdbdev.com

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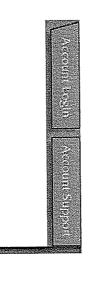
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